Beyond Compliance

Risk Management to meet import requirements and facilitate market access

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Enhance competency and confidence in the SE Asian sub-region to apply a Systems Approach to plant health

Provide new decision support tools and apply them to case studies of trade opportunities selected by partner countries

Today's session

1. Market access and import requirements
2. Systems Approach to risk management (ISPM 14)
3. How to evaluate combined measures to meet import requirements
4. Tools from ***Beyond Compliance*** to support application of ISPM 14:
   - Philippines NPPO
   - Thailand NPPO

1. Market Access and Import Requirements

- Decisions about import requirements are made by the importing country's designated National Plant Protection Organisation (NPPO)
- Decisions are on a specific commodity/ country of origin basis
  - pest status of a country or area may be different from another location
- Usually a bilateral agreement between importer and exporter country NPPOs
  - may be regional (e.g. EU)

Import requirements are based on risk

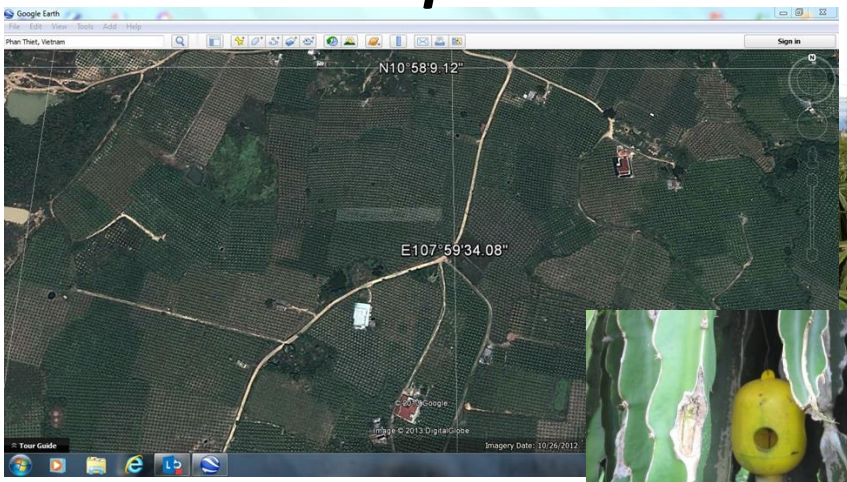
- Decision to allow trade is based on estimated risk, in terms of possible introduction of pests not already in the importing country
- This is considered using the framework of a **pest risk analysis**

Pest Risk Analysis

- Categorisation of the organism as a pest
- Pest Risk Assessment
  - Probability of entry, establishment and spread
  - Consequences of introduction
- Pest Risk Management
  - Options for management in proportion to the estimated risk
  - Consideration of feasibility, socioeconomic and environmental issues
- Pest Risk Communication

2. Systems Approach to risk management (ISPM no. 14)

ISPM14 (2002): *The use of integrated measures in a systems approach for pest risk management*



Describes an approach using at least two independent measures to provide risk management proportionate to the risk estimated in the PRA

Elements of Systems Approach (ISPM 14 section 8)

- Pre-planting
- Pre-harvest
- Harvest
- Post harvest treatment and handling
- Transportation and distribution

Why use Systems Approach?

Risk of failure of single treatments

Complexity of pest profile post PRA of a commodity

- NPPOs undertaking PRAs identify more regulated pests
- One treatment cannot deal with a range of regulated pests (Insect+disease)

Reduction in use of chemical treatments

- Reduction in shelf life of commodities (especially MeBr)
- Residue concerns particularly “organic” products
- Not all countries are Montreal Protocol signatories
  - Cannot use MeBr

Main risk can be managed offshore

Resource requirements

- Most components can be integrated into IPM or GAP
- Many countries do not have treatment facilities or staff and must rely on offshore procedures and what is available locally

3. How to evaluate combined measures for meeting import requirements

- Consider feasibility of options
- Estimate efficacy (impact on risk) of measures and synergy or duplicative impact
- Consider if measure affects multiple pests
- Select combination of measures for system and appropriate verification
- Determine equivalence with existing requirements

Introduce control points in the system

Original definition: A step in a system where specific procedures can be applied to achieve a defined effect and can be **measured, monitored, controlled** and **corrected** [ISPM 14, 2002]

Why use a control point?

- An opportunity for the NPPO to learn what is actually happening (vs predicted to happen), in terms of pest population or infestation, before the point of issuing a phytosanitary certificate

4. Tools from Beyond Compliance to support application of ISPM 14

Tools to support Systems Approach

Key opportunities:

- *Provide framework for available information*
- *Address uncertainty arising in the assessment*
- *Support estimates of efficacy of measures with limited data and a range of opinion*
- *Allow more flexibility in management schemes*

Planned progression of tools

PRA from importing NPPO or dossier

Production chain and stakeholder discussions

Evaluation of measures in the DSS framework

Bayesian Network with control points (CP-BN)

Sensitivity Analysis amongst measures

Production chains

Production chains

Separate columns:

Objective of the measure

Measures

Time/place/stage in chain

Verification measures

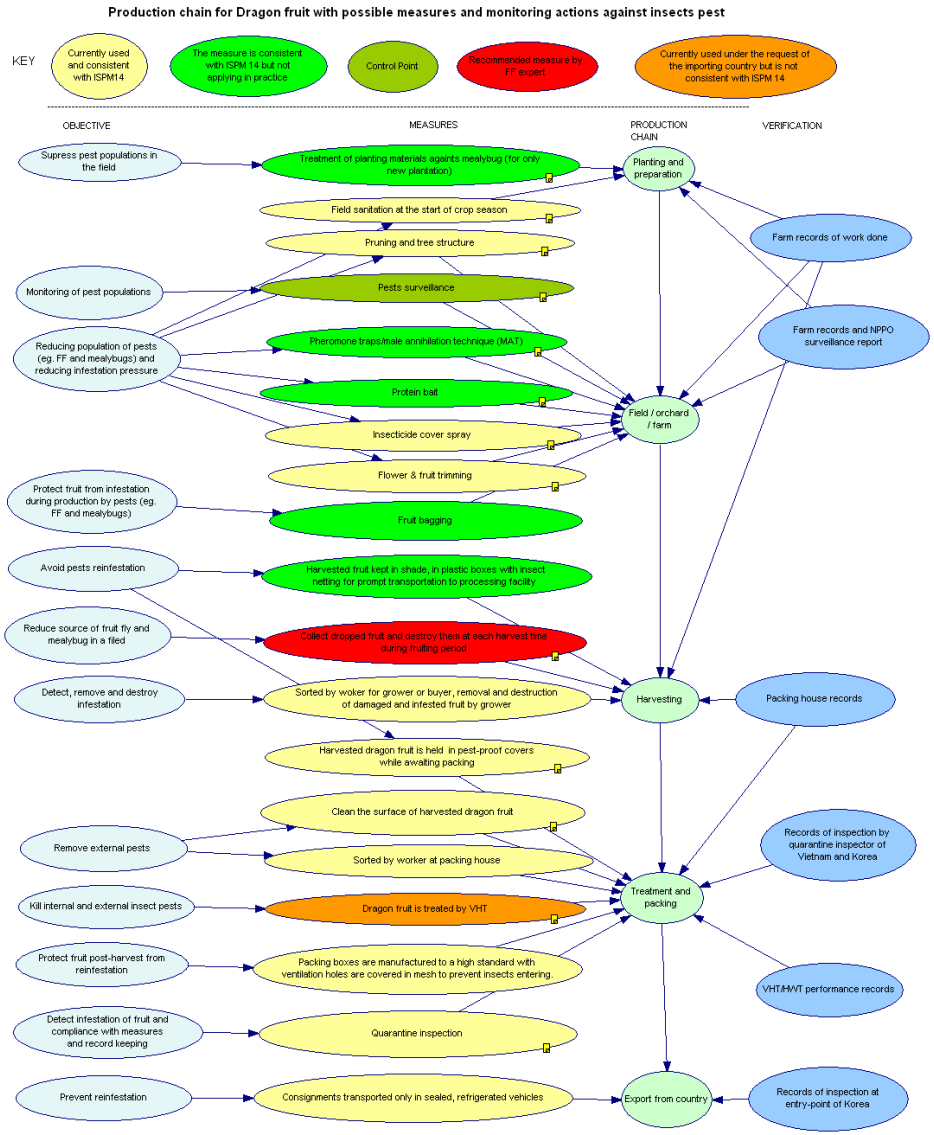
Colour coding:

Official or commercial measures

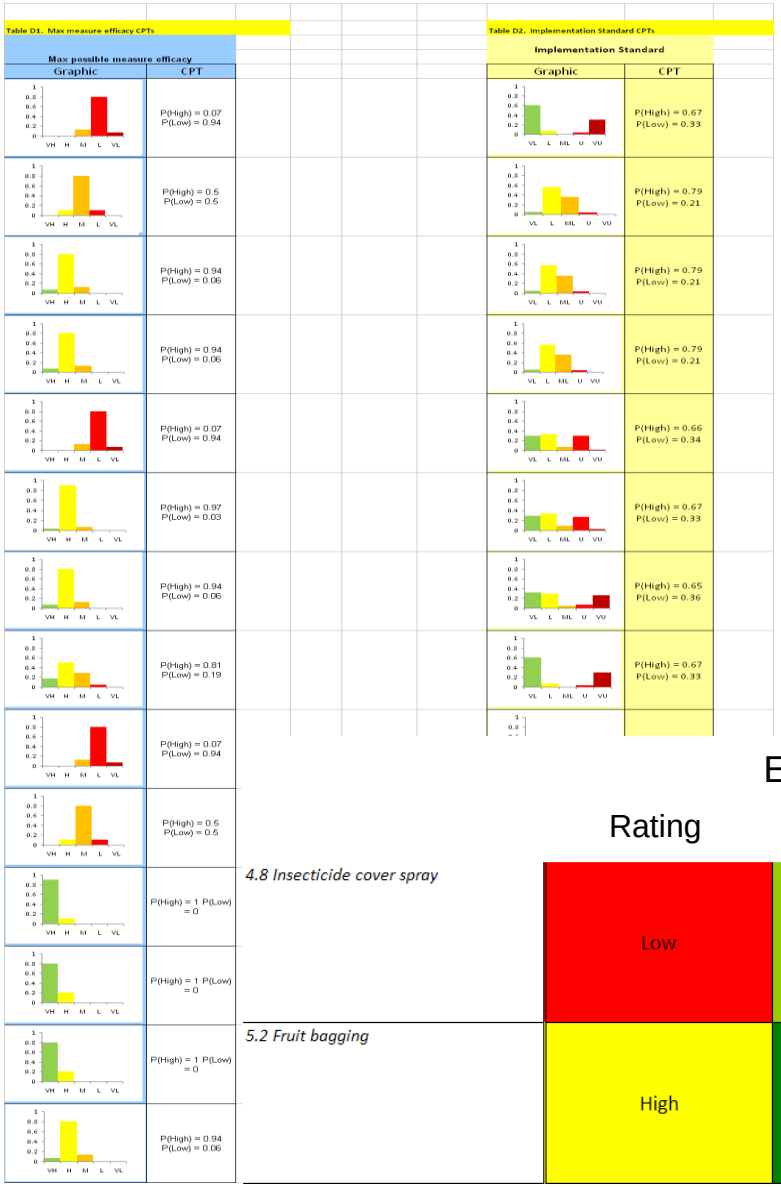
Currently applied or potential

In line with least restrictive, or to be discussed for removal

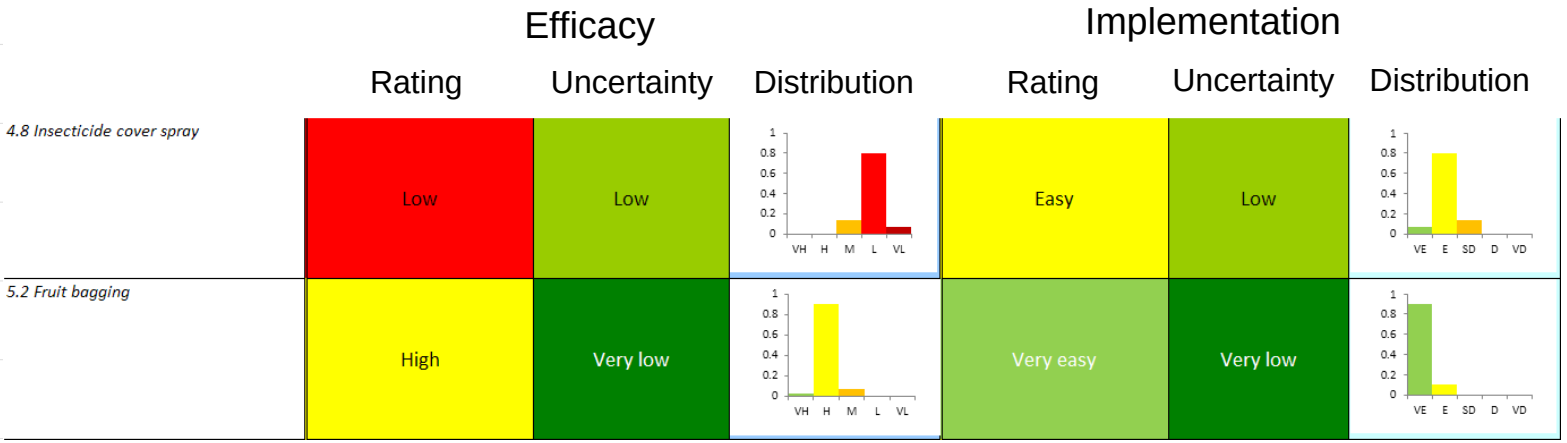
For risk reduction or verification



NPPO and other government partners work on Excel™ based Decision Support System

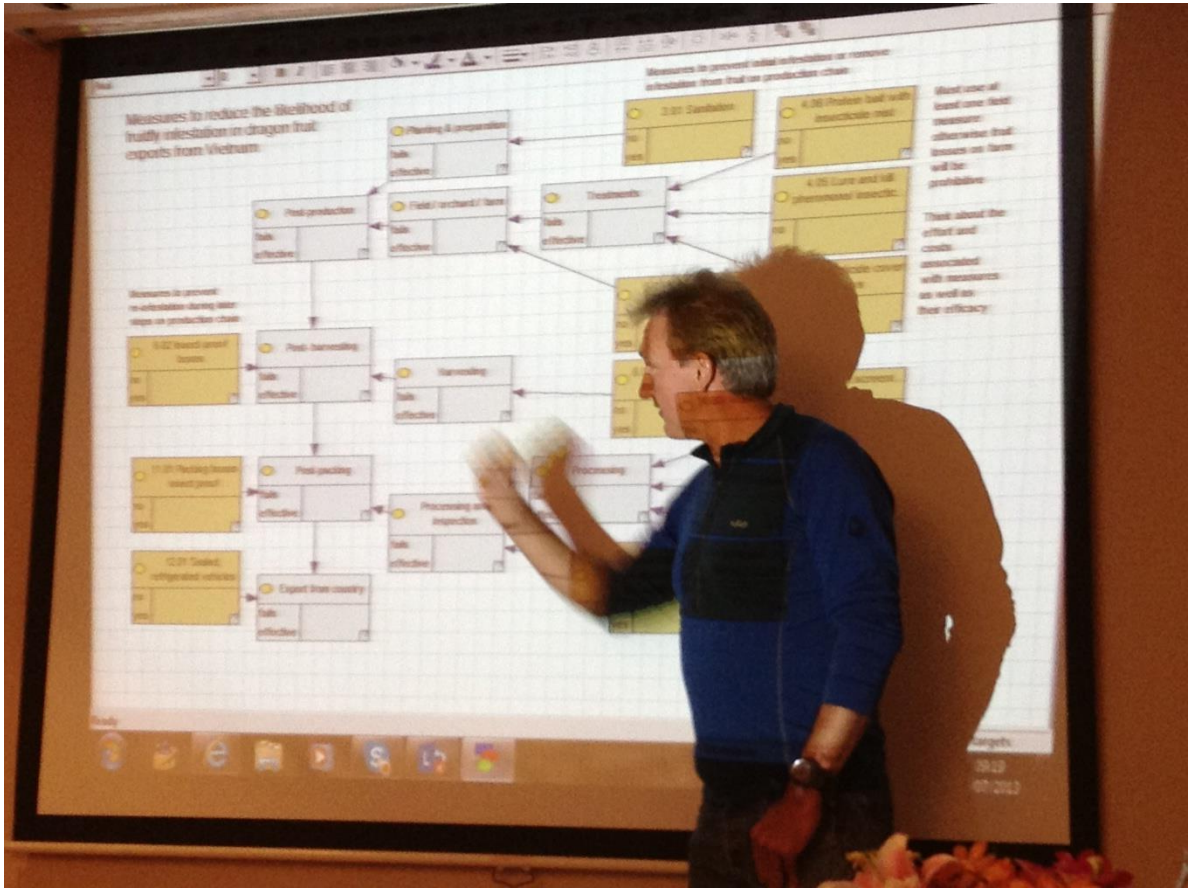


Decision Support System (DSS) with graphic representation of expert input



Taking answers to ISPM 11 into a relationship framework

The final tool is a structured approach to summarise the role and relationship of each measure (e.g. reducing pest prevalence, preventing infestation, verify another measure's performance, etc), estimates of efficacy and weigh priorities. This can help people understand the value and necessity of different phytosanitary measures and clarify the relative importance of each of them in a systems approach.



Facilitators support proper structuring of Control Point-Bayesian Network (CP-BN)

Reality?

Tools have now been used for:

- New market access
- Maintaining markets
- Negotiating equivalence agreements
  - e.g. removal of a treatment or proposal for alternative measure
- Challenging the number of measures required and their scientific justification

Among 4 countries, ~ 20 domestic stakeholder meetings directly arising from the project

- Cooperation with other projects (PRATIQUE, AusAID-BC, IAEA/FAO) and other national initiatives (NZ, Australia)
- Establishment of a new industry group in Vietnam to capture benefits of enhanced markets

Reality?

- Wide adoption of production chain mapping
- Improvements to DSS for capturing distributions of efficacy estimates, which can then feed into a CP-BN
- Increased use of Bayesian Networks for plant health
  - but new applications will still benefit from facilitation

Thank you for supporting ***Beyond Compliance***